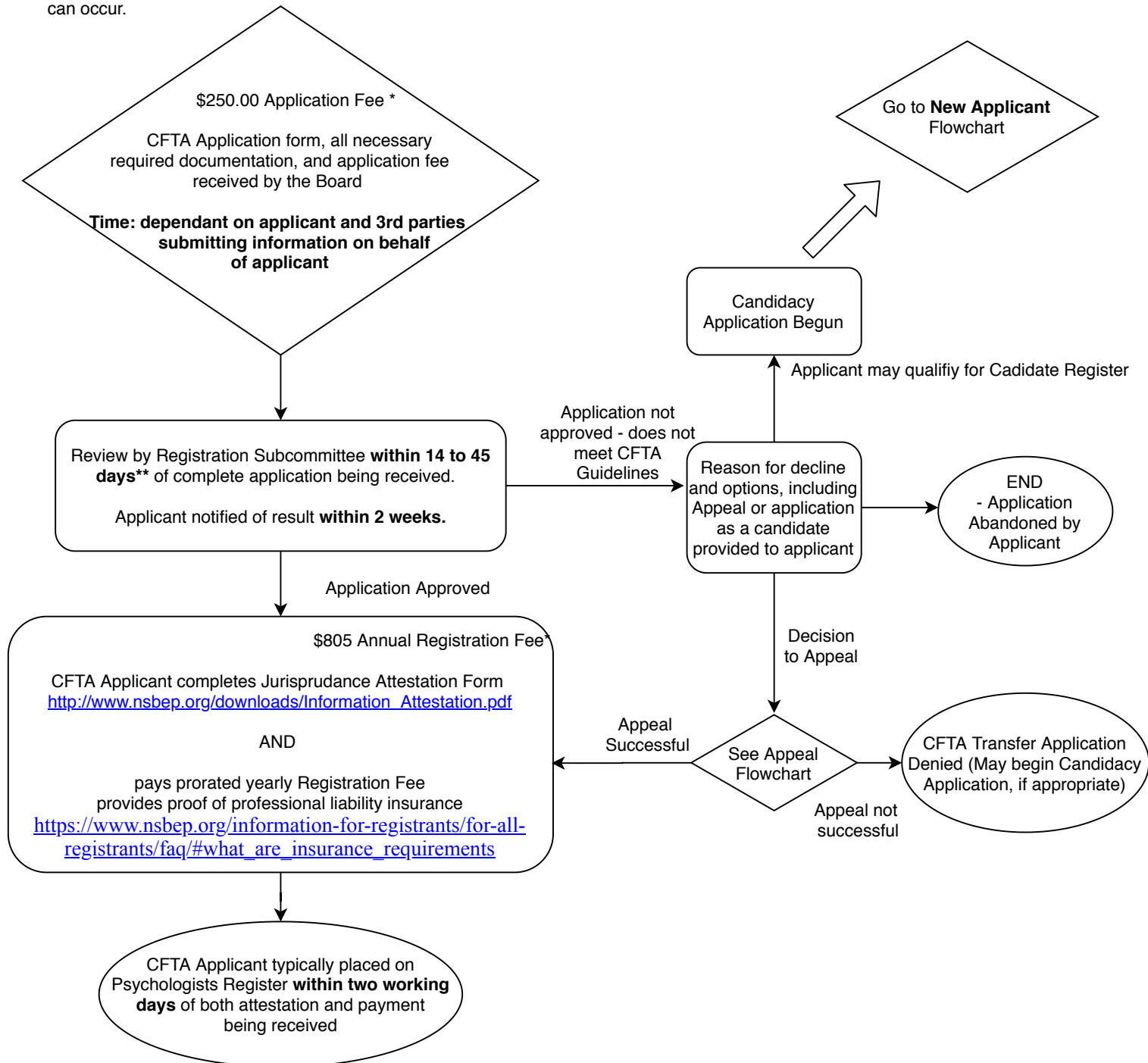


Transfer Application made under the Canadian Free Trade Agreement (CFTA)

Note: this flowchart applies to the most common situations, but variations can occur.



* Dollar fees are in Canadian funds unless otherwise indicated. Dollar figures were current when this document was last updated. For a complete list of current fees, go to <https://www.nsbep.org/about-nsbep/all-fees/>

** The Registration Subcommittee meets once a month. To allow time for review, complete applications and all supporting materials must be received at least two weeks prior to the meeting. The Subcommittee does not meet in August. Materials that miss the cutoff for the July meeting will be reviewed in September. For Board meeting dates, go to https://www.nsbep.org/about-nsbep/information-for-registrantsfor-all-registrantsnewsmeeting_dates/